

1FW RCE/2828

PTO/SB/30 (08-03)

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

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P.O. Box 1450  
Alexandria, VA 22313-1450



Application Number	10/031,209
Filing Date	May 13, 2002
First Named Inventor	A. Neil Boucher et al.
Art Unit	2828
Examiner Name	Cornelius H. Jackson
Attorney Docket Number	COHP-4570

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

  - ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

    - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - ☐ Other \_\_\_\_\_
  - ☒ Enclosed
    - ☒ Amendment/Reply
    - ☐ Affidavit(s)/Declaration(s)
    - ☐ Information Disclosure Statement (IDS)
    - ☐ Other \_\_\_\_\_
- Miscellaneous**

  - ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
  - ☐ Other \_\_\_\_\_
- Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

  - ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1703

    - ☒ RCE fee required under 37 CFR 1.17(e) 05/13/2004 KREBRAHT 00000040 10031209
    - ☒ Extension of time fee (37 CFR 1.136 and 1.17) 01 FC:1801 770.00 OP
    - ☐ Other \_\_\_\_\_
  - ☒ Check in the amount of \$ 880.00 enclosed
  - ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Jason D. Lohr	Registration No. (Attorney / Agent)	48,163
Signature		Date	May 10, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print / Type)	Georgia K. Stith	Date	May 10, 2004
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Inventors: A. Neil Boucher et al.

Application No.: 10/031,209

Filed: May 13, 2002

For: INDUCED ABSORPTION FILTER  
(IAF)

Group Art Unit: 2828

Examiner: C. H. Jackson

**CHANGE OF ATTORNEY OR AGENT'S  
ADDRESS IN APPLICATION  
(37 CFR 1.8(a))**353 Sacramento Street, Suite 2200  
San Francisco, CA 94111  
(415) 772-4900M/S RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited  
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Box 1450 Alexandria, VA 22313-1450 on May 10, 2004.

STALLMAN &amp; POLLOCK LLP

Dated: 05/10/2004By: Georgia K. Stith

Georgia K. Stith

Sir:

Please send all correspondence for this application to:

STALLMAN & POLLOCK LLP Customer No.: 28584  
Attn: Michael A. Stallman  
353 Sacramento Street, Suite 2200  
San Francisco, CA 94111

Please direct all telephone calls to:

Michael A. Stallman  
Telephone: (415) 772-4900  
Facsimile: (415) 398-2890

Respectfully submitted,

STALLMAN &amp; POLLOCK LLP

Dated: May 10, 2004By: Jason D. LohrJason D. Lohr  
Reg. No. 48,163

Attorneys for Applicant(s)



STALLMAN & POLLOCK LLP  
353 Sacramento Street, Suite 2200  
San Francisco, CA 94111  
(415) 772-4900

In re Patent Application of: A. Neil Boucher et al.

Atty Docket No. COHP-4570

Application No.: 10/031,209

Filed: May 13, 2002

For: INDUCED ABSORPTION FILTER (IAF)

M/S RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmittal herewith is an amendment in the above-identified application.

The fee has been calculated as shown below.

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL	11	MINUS	20	0	x \$18 =	\$0
INDEP.	4	MINUS	4	0	x \$86 =	\$0
FIRST PRESENTATION OF MULTIPLE DEP CLAIMS					+ \$290	\$0
					TOTAL	\$0

Small Entity 50% Filing Fee Reduction (if applicable) \$0

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.)

- ☒ Attached for filing a Request for Continued Examination (RCE).
- ☒ Applicant(s) hereby petition(s) for a one-month extension of time in which to file the present response and enclose(s) herewith the necessary fee to accompany this petition. A check in the amount of \$880.00 is attached to cover the RCE filing fee of \$770.00 and the one-month extension filing fee of \$110.00.
- ☒ Please charge any additional fees, including any fees necessary for extensions of time or credit overpayment to Deposit Account No. 50-1703, under Order No. COHP-4570.  
A duplicate copy of this sheet is enclosed.

STALLMAN & POLLOCK LLP

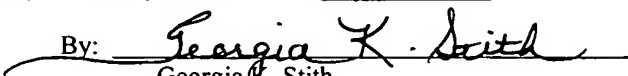
Dated: May 10, 2004

By:   
Jason D. Lohr (Reg. No. 48,163)  
Attorneys for Applicant(s)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 May 10, 2004.

Dated: May 10, 2004

By:   
Georgia K. Stith



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

A. Neil Boucher, et al.

Application No.: 10/031,209

Filed: May 13, 2002

For: INDUCED ABSORPTION FILTER  
(IAF)

Group Art Unit: 2828

Examiner: C. H. Jackson

**RESPONSE TO ADVISORY ACTION  
MAILED APRIL 22, 2004**353 Sacramento Street, Suite 2200  
San Francisco, CA 94111  
(415) 772-4900M/S RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited  
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Box 1450, Alexandria, VA 22313-1450 on May 10, 2004  
STALLMAN & POLLOCK LLP

Dated: 05/10/2004

By:

Georgia K. Stith

Sir:

In response to the Advisory Action mailed April 22, 2004, and in accordance with the  
accompanying Request for Continued Examination, please amend the above-identified  
application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this  
paper.

**Remarks/Arguments** begin on page 7 of this paper.

05/13/2004 RNEBRAHT 00000040 10031209

02 FC:1251

110.00 0P

Atty Docket No.: COHP-4570